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# UTILITY PATENT APPLICATION TRANSMITTAL

Only for new nonprovisional application under 37 C.F.R. § 1.53(b))

Attorney Docket No.	IN-5474
Application Number	
Filing Date	April 10, 2001
First Named Inventor	Michele L. STAUFFER
Examiner Name	
Group/Art Unit	
Express Mail Certificate	EK157042915US

PTO  
09/829829

04/10/01

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO: Assistant Commissioner for Patents Box  
Patent Application, Washington, DC 20231

1. ☒ Fee Transmittal Form (e.g. PTO/SB/17)  
(Submit an original and a duplicate for fee processing)

5. ☐ Microfiche Computer Program (Appendix)

2. ☒ Specification [Total Pages  ]

6. Nucleotide and/or Amino Acid Sequence Submission

(preferred arrangement set forth below)

- Descriptive title of the invention
- Cross References to Related Application
- Statement Regarding Fed sponsored R & D
- Reference to Microfiche Appendix
- Background of the invention
- Brief Summary of the invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

(if applicable, all necessary)

- a. ☐ Computer Readable Copy
- c. ☐ Paper Copy (identical to computer copy)
- ☐ Statement verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

3. ☐ Drawing(s) (35 U.S.C.113) [Total Sheets  ]

7. ☐ Assignment Papers (cover sheet & document(s))

4. ☐ Oath or Declaration [Total Sheets  ]

- a. ☐ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 CFR § 1.63(d)) (for continuation/divisional with Box 16 completed)

8. ☐ 37 CFR§3.73(b) Statement (when there is an assignee) ☐ Power Of Attorney

9. ☐ English Translation Document (if applicable)

10. ☐ Information Disclosure Statement (IDS)/ PTO-1449 ☐ Copies of IDS Citations

11. ☐ Preliminary Amendment

12. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)

13. ☐ Statements (PTO/SB/09-12)  
☐ Statement filed in prior application  
Status still proper and desired

14. ☐ Certified Copy of Priority Document(s) if foreign priority is claimed)

15. ☐ Other: \_\_\_\_\_

- i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting  
Inventor(s) named in the prior application,  
See 37 CFR §1.63 (d)(2) and 1.33(b).

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendments;

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. 0 filed on \_\_\_\_\_  
Prior application information: Examiner Group/Art Unit:

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application from which an oath or declaration is supplied under Box 4b, is considered to be part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 17. CORRESPONDENCE ADDRESS

Name and Address BASF Corporation, 26701 Telegraph Road, Southfield, Michigan 48034-2442

## 18. SIGNATURE OF APPLICATION, ATTORNEY, OR AGENT

Firm or Individual name

*Anna M Budde*  
Anna M. BUDDE, 35,085  
Customer No. 26922

Date: Tuesday, April 10, 2001

# FEE TRANSMITTAL

Patent fees are subject to annual revision on October 1.  
These are the fees effective October 1, 1997

Application Number **107/000,000**  
Filing Date **April 10, 2001**  
First Named Inventor **Michele L. STAUFFER**  
Examiner Name  
Group/Art Unit  
Attorney Docket No. **IN-5474**  
Express Mail Certificate **EK157042915US**

TOTAL AMOUNT OF PAYMENT **\$1,050.00**

## METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to change indicated fees and credit any over payments to:

Deposit Account Number **23-3425**

Deposit Account Name **BASF Corporation**

☒ Change Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17 ☒ Charge the Issue Fee Set in 37 CFR § 1.18 at the Mailing of the Notice of Allowance

2. ☐ Payment Enclosed:

☐ Check ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Fee Code Entity Fee (\$)

101	710	Utility filing fee	\$710.00
106	330	Design filing fee	
107	540	Plant filing fee	
108	790	Reissue filing fee	
114	150	Provisional filing fee	
SUBTOTAL (2)			\$710.00

### 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
30 -20** = 10	10	18	\$180.00
05 - 3** = 02	02	80	\$160.00
SUBTOTAL (2) \$340.00			

\*\*Or number previously paid, if greater; For Reissues, see below

Large Fee Code	Entity Fee (\$)	Claims in excess of 20
103	218	Independent claims in excess of 3
102	80	Multiple dependent claims, if not paid
104	270	**Reissue independent claims over original patent
109	82	**Reissue claims in excess of 20 and over original patent
110	22	

SUBTOTAL (2) \$340.00

## 18. SIGNATURE OF APPLICATION, ATTORNEY, OR AGENT:

Firm or Individual name **BASF Corporation**  
**26701 Telegraph Road**  
**Southfield, Michigan 48034-2442**  
Telephone No. **(248) 948-2021**  
Fax No. **(248) 948-2093**

Signature:

*Anna M. Budde*

Date:

**Anna M. Budde, 35,085**  
**Tuesday, April 10, 2001**  
**Customer No. 26922**

## FEE CALCULATION

### 3. ADDITION FEES

Large Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
105	130	Surcharge -late filing fee or oath	
127	50	provisional ailing or cover sheet	
139	130	Non-English specification	
147	2,520	For filing request for examination	
112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840	Requesting publication of SIR after Examiner action	
115	110	Extension for reply within first month	
116	400	Extension for reply within second month	
117	890.	Extension for reply within third month	
118	1,510	Extension for reply within fourth month	
128	2,060	Extension for reply within fifth month	
119	310	Notice of Appeal	
120	310	Filing a brief in support of an appeal	
121	270	Request for oral hearing	
138	1,510	Petition to institute a public use proceeding	
140	110	Petition to revive-unavoidable	
141	1,320	Petition to revive-unintentional	
142	1,320	Utility Issue fee (or reissue)	
143	450	Design issue fee	
144	670	Plant issue fee	
122	130	Petition to the Commissioner	
123	50	Petition related to provisional application	
126	240	Submission of information	
		Disclosure Statement	
581	40	Recording each patent	
		Assignment per property (time number of properties)	
146	790	Filing a submission after final rejection	
149	790	For each additional invention to be examined (37 CFR 1.129(b))	
Other	fee	(specify)	
Reduced By Basic Fee Paid Filing			

SUBTOTAL (3) (\$)

PATENT

(Practitioner's Docket No. IN-5474 )

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Michele L. STAUFFER et al.

US Serial No. Herewith

Filed: April 10, 2001

Group Art Unit: Not Assigned

Examiner: Not Assigned

For: COATING COMPOSITION HAVING LOW VOLATILE ORGANIC CONTENT

Assistant Commissioner of Patents  
Washington, D.C. 20231

EXPRESS MAIL CERTIFICATE

"Express Mail" label number EK157042915US Date of Deposit April 10, 2001

I hereby certify that the following attached paper or fee

☒ Application

Specification on 22 pages; claims on 08 pages;  
the abstract on 01 page(s); drawings on      page(s).

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

MARJORIE ELLIS

(Typed or printed name of person mailing paper or fee)

  
Signature of person mailing paper or fee)

Note: Each paper must have its own certificate and the "Express Mail" label number as a part thereof or attached thereto. When, as here, the certification is presented on a separate sheet, that sheet must (1) be signed and (2) fully identify and be securely attached to the paper or fee it accompanies. Identification should include the serial number and filing date of the application as well as the type of paper being filed, e.g.

complete application, specification and drawings, responses to rejection or refusal, notice of appeal, etc. if the serial number of the application is not known, the identification should include at least the name of the inventor(s) and the title of the invention.

Note: The label number need not be placed on each page. It should, however, be placed on the first page of each separate document, such as, a new application, amendment, assignment, and transmittal letter for a fee, along with the certificate of mailing by "Express Mail." Although the label number may be on checks, such a practice is not required. In order not to deface formal drawings it is suggested that the label number be placed on the back of each formal drawing of the drawings be accompanied by a set of informal drawings on which the label number is placed.